PTO/SB/08A (10-01)
Approved for use through 10/31/2002. OMB 0851-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE d to respond to a collection of information unless it contains a valid OMB control number.

Under the Paperwork Reduction Act of 19

Substitute for form 1449A/PTO Complete if Known Application No. 09/635,345 INFORMATION DISCLOSURE Filing Date August 9, 2000 STATEMENT BY APPLICANT First Named Inventor Albert K. Chin Art Unit 3738 **Examiner Name** Thomas C. Barrett Attorney Docket Number 80121-07179 Sheet of 5 4

			U.S. PAT	ENT DOCUMENTS
		Document No.		
Examiner Initiats	Cite No.	Number – Kind Code <sup>2</sup> (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
60	A76	US-1,727,495	-13 08-1936-	Wappler 09/1020
(10)	A77	US-207,932	09-10-1878	Alvord

FOREIGN PATENT DOCUMENTS							
	1	Foreign Patent Document					
Examiner Initiatis*	Cite No.*	Country Code <sup>3</sup> — Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	τ°		
119	B1	DE 39 42 589	22.12.1989	Olympus Optical Co.			
	82	EP 0791330 A2	08/27/1997	Cardiothoracic Systems, Inc.			
	83	EP 0 642 764	13.09.1994	United States Surgical Corporation			
	84	FR 1 370580	1962 12	Fourestler et al.			
	85	GB 2 082 459	13,08, 1981	Atrium Medical			
<del></del>	88	GB 2 195 540	18.09.1987	Anthony James Milne			
<del></del>	87	SU 510235	15.04.1976				
	88	SU 1371689	20.03.1988				
V	89	WO 97/26831	07-31-1997	Chin, Albert K.			
11/5	B10	WO 96/32882	10/24/1996	Heartport, Inc.			

3-13-8

OTHER REFERENCES - NON-PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>6</sup>			
MB	C1	BERNHARD, Victor M. et al., "Cardiovascular Endoscopy: Historical Perspectives", Endovascular Surgery, 1989 W.B. Saunders Company, pp. 13-30				
1(15)	C2	CARPENTIER, A., "Technique d'implantation de pace-maker par une vole d'abord abdominale sous-xypholdienne," La Presse Medicale, Masson et Cle, Editeurs, Parls, Vol. 76, No. 2, January 13, 1988, 2 pp.				
119	C3	Comedicus Incorporated, Equity Investment Information Sheet, May 17, 1999				

Examiner Date /	
Signature Considered 2. (- 7.1)	6

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

'Applicant's unique citation designation number (optional). 2See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a chack mark here if English language Translation is attached.